

05-18-01

RE/A



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PATENTS
CF/007R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REISSUE APPLICATION

Application for Reissue of

Patent No. : 5,905,974

Issued : May 18, 1999

Patentees/

Reissue Applicants : Stuart A. Fraser et al.

For : AUTOMATED AUCTION PROTOCOL
PROCESSOR

Assignees : CANTOR FITZGERALD, L.P. and
CFPH, L.L.C.

New York, New York 10020
May 17, 2001

Box REISSUE
Hon. Commissioner
for Patents
Washington, D.C. 20231



LETTER OF TRANSMITTAL FOR REISSUE APPLICATION

Sir:

Submitted herewith for filing is an Application for Reissue of United States Patent No. 5,905,974, issued May 18, 1999. This Reissue Application consists of the following papers, all of which are enclosed:

1. Specification, claims, and abstract (on cover sheet of issued patent) as required by 37 C.F.R.

\$ 1.173(a)(1);

2. Drawings (12 sheets) as required by 37 C.F.R.

\$ 1.173(a)(2);

3. Reissue Declaration, executed by the assignees, as required by 37 C.F.R. §§ 1.172 and 1.175;

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4. Offer Under 37 C.F.R. § 1.178 to Surrender Original U.S. Letters Patent No. 5,905,974;

5. Certificate Under 37 C.F.R. § 3.73(b), Revocation of Power of Attorney, New Power of Attorney, and Consent of Assignees in accordance with 37 C.F.R. § 1.172(a);

6. A Preliminary Amendment in accordance with 37 C.F.R. § 1.173; and

7. A Letter To Official Draftsperson.

The fee, after entry of the amendments indicated in the Preliminary Amendment, has been calculated as shown below:

| | REISSUE APPLICATION | | ORIGINAL PATENT | | EXTRA | RATE | FEE |
|---|------------------------|---|--------------------|---|-------|-------------|------------------|
| BASIC FEE | | | | | | | \$ 710.00 |
| TOTAL CLAIMS | 25 | - | 30* | = | 0 x | \$ 18 = \$ | .00 |
| INDEPENDENT CLAIMS | 5 | - | 3** | = | 2 x | \$ 80 = \$ | 160.00 |
| <input type="checkbox"/> A MULTIPLE DEPENDENT CLAIM | | | | | + | \$ 270 = \$ | .00 |
| * If less than 20, insert 20 | | | | | | | |
| ** If Less than 3, insert 3 | | | | | | | |
| | | | | | TOTAL | | \$ <u>870.00</u> |

A check in the amount of \$870.00 is transmitted herewith in payment of the filing fee.

The Director is hereby authorized to charge payment of any additional fees required in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,



Matthew T. Byrne
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PROVISIONAL PATENT APPLICATION

Application for Reissue of

Patent No. : 5,905,974
Issued : May 18, 1999
Patentees/
Reissue Applicants : Stuart A. Fraser et al.
For : AUTOMATED AUCTION PROTOCOL PROCESSOR
Assignees : CANTOR FITZGERALD, L.P. and
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Hon. Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATION

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Date of Deposit: May 17, 2001

I hereby certify that this certification and the following papers and fees:

1. Letter of Transmittal for Reissue Application (in duplicate);
2. Copy of U.S. Patent No. 5,905,974;
3. Reissue Declaration;
4. Offer to Surrender Original Letter Patent Under 37 C.F.R. § 1.178;
5. Certificate Under 37 C.F.R. § 3.73(b), Revocation of Power of Attorney, New Power of Attorney, and Consent of Assignee under 37 C.F.R. § 1.172(a) to Reissue Application;
6. Preliminary Amendment with Figures; and
7. Letter to Official Draftperson with Figures;

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Box REISSUE, Commissioner for Patents, Washington, D.C. 20231.


Name: Charles S. Simon

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